

Low-Cost Randomized Controlled Trials in Education

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RCTs in education

Studies of online learning:

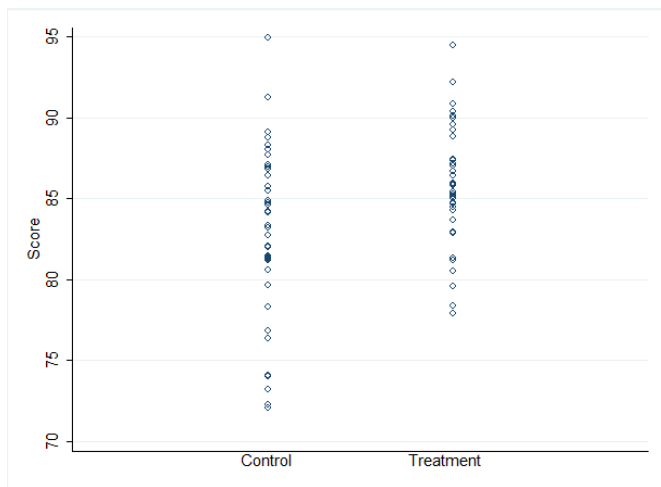
- ▶ Alpert, Couch, and Harmon (2016).
- ▶ Bowen et al. (2013).

U.S. Department of Education studies:

- ▶ Agodini et al. (2010) (elementary math curricula).

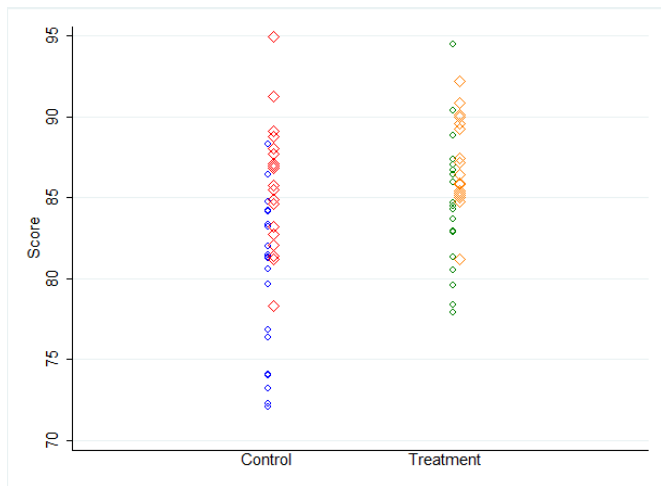
Simulated impact analysis

Hypothetical data from four classrooms ($p < 0.01$).



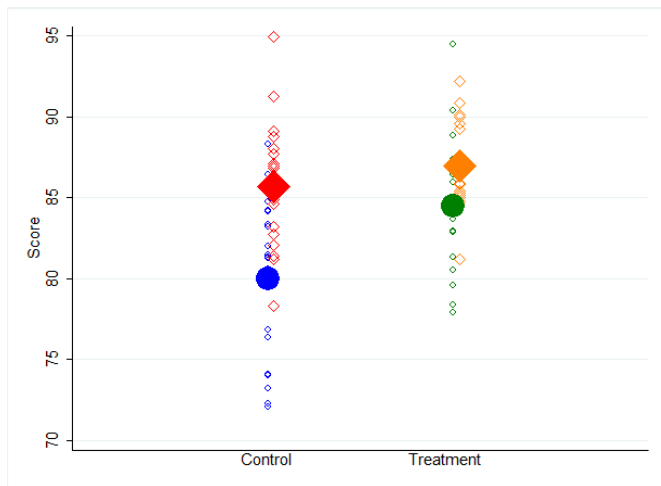
Simulated impact analysis

Data separated by classroom.



Simulated impact analysis

With classroom means ($p > 0.10$ with clustering adjustment).



Randomization by lesson

- ▶ Proposal: randomize treatment by section (classroom) and lesson.
- ▶ Similar potential for consistent impact estimates as traditional RCT.
- ▶ Smaller sample required for same precision.

Sec/Isn	1	2	3	4	5	6	7	8	9	10
1		T	T				T	T		T
2			T	T	T		T		T	
3	T			T	T		T			T
4	T	T			T	T		T		
5		T	T			T		T	T	
6	T			T		T			T	T

Unconditional random assignment

$$Y_{isl} = \beta T_{sl} + \alpha_i + \lambda_l + u_{isl}$$

- ▶ Y_{isl} assessment score for student i , section s , lesson l .
- ▶ T_{sl} binary treatment indicator.
- ▶ α_i student fixed effect.
- ▶ λ_l lesson fixed effect.

$\hat{\beta}$ is a consistent impact estimate if T_{sl} is unconditionally randomly assigned.

Conditional random assignment

Unconditional random assignment may be impractical:

- ▶ Fairness considerations.
- ▶ Logistical constraints (e.g., resources, grading).

Alternative: Randomly assign T_{sl} conditional on equal number of treatment lessons across sections.

- ▶ Treatment lesson more likely to be followed by control lesson.
- ▶ Treatment may affect subsequent lessons (spillover effects).
- ▶ Impact estimates biased towards zero.

Spillover effects

Solution 1: randomize blocks of related lessons to minimize spillover effects.

Solution 2: model spillover effects in analysis:

$$Y_{isl} = \beta T_{sl} + \sum_{j=1}^J \gamma_j T_{s(l-j)} + \alpha_i + \lambda_l + u_{isl}$$

- ▶ $T_{s(l-j)}$ treatment j lessons prior.

$$Y_{isl} = \beta T_{sl} + \delta \sum_{j=1}^{l-1} T_{sj} + \alpha_i + \lambda_l + u_{isl}$$

- ▶ $\sum_{j=1}^{l-1} T_{sj}$ number of prior treatment lessons.

Other threats to identification

- ▶ Differential attrition
 - ▶ Attrition equal in treatment/control by design.
- ▶ Hawthorne effect
 - ▶ Treatment/control exam questions difficult to distinguish.
- ▶ Instructor bias
 - ▶ Scoring bias easily avoided by blind, parallel grading.
 - ▶ Bias in class preparation must be carefully avoided.

Clustered RCTs

Variance of impact estimator: (Schochet 2008) $\frac{2(1-\rho)\sigma^2}{N} + \frac{2\rho\sigma^2}{s}$

- ▶ N number of students.
- ▶ s number of sections.
- ▶ ρ intra-class correlation.
- ▶ σ^2 variance of outcome residual.

Example: 54 sections of 25 students required to detect 0.2 standard deviation impact.

Treatment assignment by lesson

Variance of impact estimator (no spillover): $\frac{2(1-\rho)\sigma^2}{NL} + \frac{2\rho\sigma^2}{sL}$

- ▶ L number of lessons.

Example: 5 sections of 25 students with 11 experimental lessons required to detect 0.2 standard deviation impact.

Cluster at section and/or student level.

Implementation challenges

- ▶ Intervention must be appropriate for single lessons or blocks of lessons.
- ▶ Instructor(s) must be well-versed in both methods.
- ▶ Assessments must measure achievement specific to a lesson.
- ▶ Treatment noncompliance must be minimized.

Conclusions

- ▶ RCTs likely uncommon in education due to high cost.
- ▶ Diversity of teaching methods, heterogeneous effects by setting require wide body of literature.
- ▶ Small-scale RCTs have the potential to expand body of knowledge at lower cost.